Kyrgyz Republic

The State Institution for Development of Drinking Water Supply and Wastewater Disposal of the Water Resources Service under the Ministry of Water Resources, Agriculture and Processing Industry of the Kyrgyz Republic

<u>DRAFT</u>

APPRAISAL STAGE

Environmental and Social Commitment Plan

Water Supply and Sanitation Universal Access Program – 1 Project P500620

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

- The Kyrgyz Republic (the Recipient) will implement the Water Supply and Sanitation Universal Access Program, with the involvement of the State Institution for Development of Drinking Water Supply and Wastewater Disposal (hereinafter-SIDDWSWD) of the Water Resources Service under the Ministry of Water Resources, Agriculture and Processing Industry of the Cabinet of Ministers of the Kyrgyz Republic as set out in the Financing Agreement [and Grant Agreement]. The International Development Association (hereinafter the Association) agreed to provide funding for the Project, as set out in the referred agreement(s).
- 2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is a part of the Financing Agreement and Grant Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement(s).
- 3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring, and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
- 4. As agreed by the Association and the Recipient, this ESCP may be revised from time to time during the Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient through the SIDDWSWD and the Association agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the Recipient's State Institution for Development of Drinking Water Supply and Wastewater Disposal. The Recipient, through SIDDWSWD, shall promptly disclose the updated ESCP.

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MON	ITORING AND REPORTING		
A	REGULAR REPORTING Prepare and submit to the Association regular monitoring reports on the environmental, social, health, and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s).	Submit Bi-annual reports to the World Bank throughout Project implementation, commencing after the Effective Date. Submit each report to the World Bank no later than thirty (30) days after the end of each reporting period.	SIDDWSWD Project Coordination Unit (PCU)
В	INCIDENTS AND ACCIDENTS Promptly notify the World Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public, or workers, including, <i>inter alia</i> , cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injuries. Provide sufficient detail regarding the scope, severity, and possible causes of the indertor accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervision company/ consultant, as appropriate.	Notify the World Bank within 48 hours after learning about the incident or accident.	SIDDWSWD PCU
	Subsequently, at the Association's request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence, including the findings of a Root Cause Analysis (RCA) and a Corrective Action Plan (CAP).	Provide subsequent report to the Association within a timeframe acceptable to the Association. Provide subsequent reports to the World Bank, including RCA, CAP, precautions, and compensation measures taken within 15 business days from the time the initial notice was provided. As part of the preparation of all bidding documents prior to	

	Include in bidding documents of contractors and supervision consultants/companies the obligations to report incidents and accidents to the Recipient/PCU. Ensure and monitor that an incident register at all construction sites is kept by the contractors throughout the Project implementation.	launching the sub-projects' bidding process.	
С	CONTRACTORS' MONTHLY REPORTS Require contractors and supervising firms to provide monthly monitoring reports on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts and submit such reports to the Association at its request.	Submit the monthly reports to the Association upon request or as annexes to the reports to be submitted under Action A above.	SIDDWSWD PCU

ESS 1	I: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND	SOCIAL RISKS AND IMPACTS	
1.1	ORGANIZATIONAL STRUCTURE Maintain Project Coordination Unit (PCU) established under the SIDDWSWD with qualified staff hired in accordance with the terms of reference (ToR) acceptable to the Association, and resources to support the management of ESHS risks and impacts of the Project and the delivery of actions agreed upon in this ESCP, including environmental and social specialists.	Maintain PCU throughout Project implementation.	SIDDWSWD PCU
	The PCU shall recruit one Environmental Specialist and one Social Specialist on staff at the central level based in Bishkek.	Recruit environmental and social specialists as part of the PCU within one month of the Effective Date and retain staff throughout Project implementation.	
	The PCU shall also recruit or assign an environmental and social officer at the district level to support project implementation as part of the technical teams at the District Water and Sanitation Utilities (DWSUs) after being established. The environmental and social officer will work closely with the PCU at the SIDDWSWD and be responsible for day-to-day project oversight, including environmental and social aspects of relevant activities.	Assign the environmental and social officers no later than six months after the Project's Effective Date and maintain throughout Project Implementation.	

1.2	ENVIRONMENTAL AND SOCIAL INSTRUMENTS Finalize, adopt and implement the Environmental and Social Management Framework (ESMF) for the Project, consistent with the relevant ESSs.	The ESMF will be finalized, approved, and disclosed prior to completion of the Project Appraisal and shall be implemented throughout Project implementation.	SIDDWSWD PCU
	Undertake environmental and social screening of subprojects and prepare Environmental and Social Management Plans (ESMP) and adopt Environmental and Social Codes of Practices (ESCoP) (for lower-level risks and impacts) as applicable as set out in the ESMF. The activities described in the exclusion list set out in the ESMF shall be ineligible to receive financing under the Project.	Prepare the ESMPs before launching the sub-projects' bidding process for the respective civil works. Adopt the ESMP and ESCoP prior to the carrying out of the Project activities that requires the adoption of such ESMP. Once adopted, implement the respective ESMP throughout Project implementation.	
1.3	MANAGEMENT OF CONTRACTORS Incorporate the relevant aspects of the ESCP, including inter alia,	As part of the preparation of	SIDDWSWD
	the relevant E&S instruments prepared for various project activities, Labor Management Procedures, and Code of Conduct into the ESHS specifications of the procurement documents and contracts	all biddingdocuments and contracts.	PCU
	with contractors and supervising companies. Thereafter, ensure that the contractors comply and cause subcontractors to comply with	Supervise contractors throughout Project	
	the ESHS specifications of their respective contracts.	implementation.	

1.4	TECHNICAL ASSISTANCE Ensure that the consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the World Bank that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.	Throughout Project implementation.	SIDDWSWD PCU
ESS 2	: LABOR AND WORKING CONDITIONS		
2.1	LABOR MANAGEMENT PROCEDURES(LMP) Finalize, adopt and implement the LMP for the Project including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety measures (including personal protective equipment and emergency preparedness and response measures), code of conduct (including relating to SEA and SH), to ensure there is no use of child or forced labor, setting out grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors and supervising companies.	The LMP will be finalized, approved, and disclosed prior to the Effective Date of the Financing Agreement and shall be implemented throughout Project implementation.	SIDDWSWD PCU
2.2	GRIEVANCE MECHANISM FOR PROJECT WORKERS Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.	The grievance mechanism for project workers shall be made operational within one month after the Project Effective Date and maintained throughout Project implementation.	SIDDWSWD PCU
ESS 3	: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND	MANAGEMENT	
3.1	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT Incorporate resource efficiency and pollution prevention and	Same timeframe as for the	SIDDWSWD
	management measures, in the ESMPs to be prepared under action	adoption and implementation	PCU

ESS 4	1.2 above, consistent with the requirements of ESS3, including water and raw material use, air pollution, hazardous materials and waste, as relevant, as well as any sub-plans (e. asbestos management plan, waste management plan).	of the ESMF and ESMPs under action 1.2 above.	
4.1	TRAFFIC AND ROAD SAFETY		
4.1	Based on the results of public consultations with host communities, adopt the site-specific ESMPs and thereafter implement measures and actions to assess and manage traffic and road safety risks– including those resulting from movement of Project related vehicles transporting Project workers and any project related goods and materials – on Project workers and the community, as required in the ESMF.	Same timeframe as for the adoption and implementation of the ESMF and ESMPs under action 1.2 above.	SIDDWSWD PCU

4.2	COMMUNITY HEALTH AND SAFETY Assess and manage site-specific risks and impacts on communities in accordance with ESS4 and the ESMF and include mitigation measures in the ESMPs to be prepared in accordance with the ESMF. The ESMF shall include an assessment of work-related health risks; handling and disposal of hazardous materials; excessive noise and dust levels, site safety awareness and access restrictions; etc., whereas the ESMP shall provide mitigation measure to the risks.	Same timeframe as for the adoption and implementation of the ESMF and ESMPs under action 1.2 above.	SIDDWSWD PCU
4.3	SEA/SH RISKS MITIGATION Ensure that site-specific ESMPs include actions to prevent and mitigate risks of sexual exploitation and abuse and sexual harassment (SEA/SH) in line with ESMF and enforce their implementation. This includes but is not limited to: development and adherence to Code of Conduct for all employees, SEA/SH- sensitized grievance mechanism, carry out GBV service providers mapping (as appropriate), awareness raising of all employees and community members on SEA/SH risks and mitigation measures.	Same timeframe as for the adoption and implementation of the ESMF and ESMPs under action 1.2 above.	SIDDWSWD PCU
ESS !	5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVO	LUNTARY RESETTLEMENT	
5.1	RESETTLEMENT POLICY FRAMEWORK Finalize, adopt and implement the Resettlement Policy Framework (RPF) consistent with ESS5 for the Project.	The RPF will be finalized,	SIDDWSWD PCU

5.2	RESETTLEMENT PLANS Adopt and implement a resettlement action plan (RAP) for each subproject/activity under the Project for which the RPF requires such RAP as set out in the RPF and consistent with ESS5.		SIDDWSWD PCU
		have been resettled and moving allowances have been provided.	
ESS (6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGE	MENT OF LIVING NATURAL RE	SOURCES
6.1	BIODIVERSITY RISKS AND IMPACTS Respective measures to manage biodiversity risks shall be developed and reflected in specific ESMPs consistent with ESS6 and the ESMF; if significant risks and adverse impacts on biodiversity are identified in accordance with ESS6 and the ESMF, appropriate, Biodiversity Management Plans (BMP) shall be developed and included in the site-specific ESMPs.	Same timeframe as for the adoption and implementation of the ESMPs under action 1.2 above.	SIDDWSWD PCU
	7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICAL MUNITIES	LY UNDERSERVED TRADITION	AL LOCAL
7.1	ESS 7 is not relevant for the project		
ESS 8	B: CULTURAL HERITAGE		
8.1	CHANCE FINDS		
	In accordance with the ESMF, incorporate into the site specific	Same timeframe as for the	SIDDWSWD
	ESIA (if necessary) and ESMPs, ESMP checklists Chance Finds Procedures, and include in all civil works.	adoption and implementation of the ESMPs under	PCU
		action 1.2 above.	
ESS 9	9: FINANCIAL INTERMEDIARIES		
9.1	ESS 9 is not relevant for the project.		
ESS ²	10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOS	URE	I

10.1	STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION Finalize, adopt, and implement the Stakeholder Engagement Plan (SEP) for the Project consistent with ESS 10, which shall include measures to, <i>inter alia</i> , provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination, and intimidation.	The SEP will be finalized, adopted and disclosed prior to the Project Appraisal and shall be implemented throughout Project implementation.	SIDDWSWD PCU
10.2	Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, including concerns and grievances filed anonymously, in a manner consistent with ESS10. The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.	The Project GRM to be established prior to the commencement of Project activities and thereafter maintained and operated the mechanism throughout Project implementation.	SIDDWSWD PCU
-	ACITY SUPPORT		
CS1	 Training shall be provided to PCU staff, technical teams and DWSUs staff on: Specific aspects of environmental and social management, including the ESMF, LMP, RPF, SEP requirements Emergency preparedness and response Community health and safety Code of Conduct, SEA/SH prevention measures Project GRM, GRM for Project workers Stakeholder Engagement Labor Management Procedures 	Throughout Project implementation stage; once a year as refresher training for each action point.	SIDDWSWD PCU

CS2	 Training for Project workers on: Occupational health and safety including emergency prevention and preparedness and response arrangements to emergency situations COVID-19 infection and other communal diseases safety awareness Code of Conduct, SEA/SH prevention and Grievance Mechanism Community health and safety Requirements of the Code of Conduct regarding engagement with the Projectbeneficiaries Review of WB ESSs and their implementation during the project cycle National environmental requirements for project preparation and implementation Implementation ESMP, LMP, SEP, GRM Implementation of environmental screening/ESMP, social screening Preparation of site-specific ESMP and reporting Climate adaptation solutions. 	Prior to commencing relevant works.	SIDDWSWD PCU